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No. 13-24/Estt.I/07/301

Dated Aizawl, the 15th November, 2017

NOTIFICATION

Sub.: PBAS for promotion under CAS in the Teaching cadre.

It is hereby notified for information of all concerned that the Academic Performance Indicators (APIs) and Performance Based Appraisal System (PBAS) scoring proforma for promotion under CAS in the **Teaching cadre**, governed by the fourth amendment Regulations shall be as per the new format enclosed herewith.

In case of transition from second to fourth amendment of the UGC Regulations, the provisions of the extant Regulations i.e., fourth amendment shall be applicable.

Applicants whose due date of eligibility for promotion falls on or after **10th May, 2016** may compile their applications as per the new format retaining the earlier date of submission. The same may be submitted **in duplicate** for promotion to Stage 2 and Stage 3 and **in triplicate** for promotion to Stage 4, Stage 5 and HAG.

This issues with approval of the competent authority on 15th November, 2017.

Encl.: a.a.

Copy to:

1. P.S. to Vice Chancellor, MZU, Aizawl.
2. P.S. to Registrar, MZU, Aizawl.
3. Director, IQAC, MZU, Aizawl.
4. All Deans of Schools, MZU, Aizawl.
5. All HoDs, MZU, with a request to update all teachers under their charge.
6. Principal, PUC, with a request to update all teachers under his charge.

(Dr. R. Zonuntluanga)
Joint Registrar (Estt)

(Dr. R. Zonuntluanga)
Joint Registrar (Estt)

TABLE I: SCORES FOR ACADEMIC PERFORMANCE INDICATORS (APIs) IN CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS OF UNIVERSITY/COLLEGE TEACHERS

Post	Direct Teaching Hours per week
Assistant Professor	16
Associate Professor	14
Professor	14

Based on the teacher's self-assessment, API scores are proposed for (a) teaching related activities; domain knowledge; (b) participation in examination and evaluation; and (c) contribution to innovative teaching, new courses etc. The minimum API score required by teachers from this category is different for different levels of promotion. The self- assessment score should be based on objectively verifiable records. It shall be finalized by the screening cum evaluation/selection committee.

CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

Category I	Nature of Activity	Assistant Professor		Associate Professor		Professor	
		Max. Score	Actual Score	Max. Score	Actual Score	Max. Score	Actual Score
(a)	Direct Teaching; Under this head, in appropriate cases and subject to the applicable regulations, considerations may be given to other duly recognized academic work	70	Actual hours spent per academic year $\div 7.5$	60	Actual hours spent per academic year $\div 7.75$	60	Actual hours spent per academic year $\div 7.75$
(b)	Examination duties (question paper setting, Invigilation, evaluation of answer scripts, internal assessment evaluation) as per allotment	20	Actual hours spent per academic year $\div 10$	20	Actual hours spent per academic year $\div 10$	10	Actual hours spent per academic year $\div 10$

(c)	Innovative Teaching – learning methodologies, updating of subject contents/ courses, mentoring etc.	10	Actual hours spent per academic year ÷10	15	Actual hours spent per academic year ÷10	20	Actual hours spent per academic year ÷10
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Note:

1. Direct Teaching 16/14/14 hours per week include the Lectures/Tutorials/Practicals/Project and Research Supervision/Field Work.
2. Category I scores shall be calculated and adjusted taking into consideration any leave availed which qualifies for service benefits.
3. In consonance with established academic and teaching traditions, and with a view to reinforcing a student-centric and caring approach the teachers are encouraged to work with students, beyond the structure of classroom teaching. Indicatively, this could entail mentoring, guiding and counseling students. In particular teachers would be the best placed to identify and address the needs of students who may be differently-abled, or require assistance to improve their academic performance, or to overcome a disadvantage. There are no prescribed hours for such efforts, measured either in weeks or months, or in the context and calculation of the API scores, these are nevertheless important and significant activities that could be carried out by teachers.

CATEGORY II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

Based on the teacher's self-assessment, Category II API scores are proposed for Professional development, co-curricular and extension activities; and related contributions. The minimum API required by teachers for eligibility for promotion is fixed in Table II(A). A list of items and scores is given below. The self-assessment score should be based on objectively verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and selection committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

The table below gives groups of activities and API scores.

Category II	Nature of Activity	Maximum API Score	Actual Score
(a)	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. remedial classes, career counselling, study visit, student seminar and other events). (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.). (iii) Extension and dissemination activities (public/popular lectures/talks/seminars/workshops/conference/symposium etc.).	15	Actual hours spent per academic year ÷ 10
(b)	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. (i) Administrative responsibility (including as Dean/Principal/Vice Principal/Bursar/Chairperson/Convener/Teacher-in-charge/similar other duties that require regular office hours for its discharge). (ii) Participation in Board of Studies, Academic and Administrative Committees.	15	Actual hours spent per academic year ÷ 10
(c)	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in Orientation/Refresher/Faculty development courses, dissemination and general articles and any other contribution).	15	Actual hours spent per academic year ÷ 10

Note: Category II scores shall be calculated and adjusted taking into consideration any leave availed which qualifies for service benefits.

CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

Based on the teacher's self-assessment, API scores are proposed for research and academic contributions. The minimum API scores required for teachers from this category are different for different levels of promotion in universities and colleges. The self-assessment score shall be based on verifiable records and shall be finalized by the screening cum evaluation committee for the promotion of Assistant Professor to higher grades and Selection Committee for the promotion of Assistant Professor to Associate Professor and Associate Professor to Professor and for direct recruitment of Associate Professor and Professor.

Category	Activity	Faculty of Sciences/Engineering/ Agriculture/Medical/Veterinary Sciences	Faculties of Languages/Humanities/ Arts/Social Sciences/Library/ Physical Education/Management	Maximum score for University/College teacher*
III(A)	Research Papers published in:	Refereed Journals as notified by the UGC#	Refereed Journals as notified by the UGC#	25 per Publication
		Other Reputed Journals as notified by the UGC#	Other Reputed Journals as notified by the UGC#	10 per Publication
III(B)	Publications other than journal articles (books, chapters in books)	Text/ Reference, Books published by International Publishers**, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	Text/Reference Books, published by International Publishers**, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	30 per Book for Single Author; 15 per Edited/Translated book for Single Editor/Translator
		Subject Books, published by National level publishers**, with ISBN/ISSN number or State/ Central Govt. Publications as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	Subject Books, published by National level publishers**, with ISBN/ISSN number or State/Central Govt. Publications as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	20 per Book for Single Author; 10 per Edited/ Translated book for Single Editor/Translator
		Subject Books, published by Other local publishers**, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only	Subject Books, published by Other local publishers**, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only	15 per Book for Single Author; 5 per Edited/Translated

		books with ISBN/ISSN no. will be considered.	books with ISBN/ISSN no. will be considered.	book for Single Editor/ Translator
		Chapters in Books published by National** and International** level publishers, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	Chapters in Books, published by National and International level publishers**, with ISBN/ISSN number as approved by the University and posted on its website. For the above purpose: only books with ISBN/ISSN no. will be considered.	International –10 per Chapter National – 5 per Chapter
III(C)	RESEARCH PROJECTS			
III(C)(i)	Sponsored Projects	(a) Major Projects with grants above Rs. 30 lakhs	Major Projects with grants above Rs. 5 lakhs	20 per Project for PI and 10 for Co-PI
		(b) Major Projects with grants above Rs. 5 lakhs up to Rs. 30 lakhs	Major Projects with grants above Rs. 3 lakhs up to Rs. 5 lakhs	15 per Project for PI and 8 for Co-PI
		(c) Minor Projects with grants above Rs. 1 lakh up to Rs. 5 lakhs	Minor Projects with grants above Rs. 1 lakh up to Rs. 3 lakhs	10 per Project for PI and 5 for Co-PI
III(C)(ii)	Consultancy Projects	Amount mobilized with a minimum of Rs.10 lakhs	Amount mobilized with a minimum of Rs. 2 lakhs	10 for every Rs.10 lakhs and Rs. 2 lakhs respectively.
III(C)(iii)	Projects Outcome/ Outputs	Patent/Technology transfer/Product/Process	Major Policy document prepared for international bodies like WHO/UNO /UNESCO/UNICEF etc. Central/ State Govt./Local Bodies	30 for each International/ 20 for each National level output or patent. Major policy document of International bodies – 30, Central Govt. – 20, State Govt.-10, Local bodies – 5.
III(D)	RESEARCH GUIDANCE			
III(D)(i)	M.Phil.	Degree awarded	Degree awarded	5 per candidate for Main Supervisor and 3 for Joint Supervisor

III(D)(ii)	Ph.D.	Degree awarded / Thesis submitted	Degree awarded / Thesis submitted	15/10 per candidate for Main Supervisor and 8/5 for Joint Supervisor
III(E)	Fellowships, Awards and Invited lectures delivered in conferences/seminars/refresher/orientation			
III(E)(i)	Fellowships/ Awards	International Award/Fellowship from academic bodies	Award/ Fellowship from academic bodies/associations	15 per Award 15 per Fellowship
		National Award/Fellowship from academic bodies	National Award/Fellowship from Academic bodies/associations	10 per Award 10 per Fellowship
		State/University level Award from academic bodies	State/University level Award from academic bodies/associations	5 per Award
III(E)(ii)	Invited lecture/ papers presented	International	International	7 per lecture / 5 per paper
		National Level	National Level	5 per lecture / 3 per paper
		State/University level	State/University level	3 per lecture / 2 per paper
The score under this sub-category III(E)(ii) shall be restricted to 20% of the minimum fixed for Category III for any assessment period				
III(F)	Development of e-learning delivery process/material			10 per module

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, all the First and Principal/ corresponding author/supervisor/mentor shall score 70% each of the total points and all other authors shall score 30% each of the total points.

UGC Approved List of Journals was notified vide No.F.1-2/2016(PS) Amendments dated 10/01/2017. This is a dynamic list and may be reviewed from time to time. The UGC Approved List of Journals is available in the UGC website www.ugc.ac.in.

** The level of publisher shall be decided on the following principle, the applicant is required to ensure the adherence to the same while claiming API score for the respective publication: **International Publication** - a Book published by the applicant from a publisher in a country other than India **OR** from a publisher having 'Registered Office' in India and also at least in one other country. **National Publication** – a Book published by the applicant from a publisher having 'Registered Office' in at least two distinct cities of India. **Local Publication** – a book published by the applicant from a publisher having 'Registered Office' only in one city in India.

TABLE-II(A): MINIMUM API TO BE APPLIED FOR THE PROMOTION OF TEACHERS UNDER CAREER ADVANCEMENT SCHEME (CAS) IN UNIVERSITY DEPARTMENTS AND COLLEGES AND WEIGHTAGE FOR EXPERT ASSESSMENT

Category	Activity	Assistant Professor / Equivalent cadres: (Stage 1 to 2)	Assistant Professor / Equivalent cadres: (Stage 2 to 3)	Assistant Professor to Assoc. Professor/ equivalent cadres (Stage 3 to 4)	Associate Professor to Professor/ equivalent cadres (Stage 4 to 5)	Professor (Stage 5) to Professor (Stage 6)
I	Teaching-learning, Evaluation Related Activities	80/Year	80/Year	75/year	70/year	70/year
II	Professional Development and Extension activities - Minimum score required to be assessed cumulatively	50/Assessment period	50/Assessment period	50/Assessment period	50/Assessment period	100/Assessment period
III	Research and Academic Contributions -Minimum Score required - to be assessed cumulatively	20/Assessment period	50/Assessment period	75/Assessment period	100/Assessment period	400/Assessment period
II+III	Minimum total API score under Categories II and III*	90/Assessment period	120/Assessment period	150/Assessment period	180/Assessment period	600/Assessment period
IV	Expert Assessment System	Screening cum evaluation committee	Screening cum evaluation committee	Selection Committee	Selection Committee	Expert Committee
V	Percentage Distribution of Weightage Points in the Expert Assessment (Total weightage = 100. Minimum required for promotion is 50)	No separate points. Screening committee to verify API scores	No separate points. Screening Committee to verify API scores	30% - Research Contribution 50% - Assessment of domain knowledge & teaching practices. 20% - Interview performance	50% - Research Contribution. 30% - Assessment of Domain knowledge & teaching practices. 20% - Interview performance	50% - Research Contribution. 50%- Performance Evaluation and Other credential by Referral procedure

* Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II+III. The API score claim of each of the sub-categories in the Category III (Research and Academic Contributions) shall not have a cap except for the sub-category of invited lectures/papers.

TABLE III: MINIMUM ACADEMIC PERFORMANCE AND SERVICE REQUIREMENTS FOR PROMOTION OF TEACHERS IN UNIVERSITY DEPARTMENTS AND COLLEGES

S.No.	Promotion of Teachers through CAS	Service requirement	Minimum Academic Performance Requirements and Screening/ Selection Criteria
1.	Assistant Professor/ Equivalent cadres from Stage 1 to Stage 2	Assistant Professor in Stage 1 and completed four years of service with Ph.D. or five years of service who are with M.Phil/PG Degree in Professional Courses such as LL.M, M.Tech, M.V.Sc., M.P.Ed., M.D., or six years of service who are without Ph.D/M.Phil/PG Degree in Professional courses	<ul style="list-style-type: none"> (i) Minimum cumulative API scores using PBAS scoring proforma developed by the University as per the norms provided in Table II(A). (ii) One Orientation and one Refresher/Research Methodology Course of 2/3 weeks duration. (iii) Screening cum evaluation process for recommending promotion.
2.	Assistant Professor/ Equivalent cadres from Stage 2 to Stage 3	Assistant Professor with completed service of five years in Stage 2	<ul style="list-style-type: none"> (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the University as per the norms provided in Table II(A). (ii) One course / programme from among the categories of refresher courses, methodology workshops, Training, Teaching-Learning-Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of 2/3 week duration. (iii) Screening cum evaluation process for recommending promotion.
3.	Assistant Professor (Stage 3) to Associate Professor (Stage 4)	Assistant Professors with three years of completed service in Stage 3.	<ul style="list-style-type: none"> (i) Minimum cumulative API scores using the PBAS scoring proforma developed by the University as per the norms provided in Table II(A). (ii) At least three publications in the entire period as Assistant Professor (twelve years). However, in the case of College teachers, an exemption of one publication may be given to M.Phil. holders and an exemption of two publications may be given to Ph.D. holders. (iii) One course/programme from among the categories of methodology workshops, Training, Teaching-Learning

			<p>- Evaluation Technology Programmes, Soft Skills development Programmes and Faculty Development Programmes of minimum one week duration.</p> <p>(iv) A selection committee process as stipulated in the regulation and in Table II(A).</p>
4.	Associate Professor (Stage 4) to Professor (Stage 5)	Associate Professor with three years of completed service in Stage 4	<p>(i) Minimum cumulative API scores using the PBAS scoring proforma developed by the University as per the norms provided in Table II(A). Teachers may combine two assessment periods (in Stages 2 and 3) to achieve minimum API scores, if required.</p> <p>(ii) A minimum of five publications since the period that the teacher is placed in Stage 3.</p> <p>(iii) A selection committee process as stipulated in the regulation and in Table II(A).</p>
5.	Professor (Stage 5) to Professor (Stage 6)	Professor with ten years of completed service (University only)	<p>(i) Minimum cumulative API scores for the assessment period as per the norms provided in Table II(A).</p> <p>(ii) Additional credentials are to be evidenced by: (a) postdoctoral research outputs of high standard; (b) awards/honours/recognitions/patents and IPR on products and processes developed/technology transfer achieved; and (c) Additional research degrees like D.Sc., D.Litt., LL.D., etc.,</p> <p>(iii) A review process by an Expert Committee as stipulated in this regulation and in Table II(A).</p>

Note: In cases where teachers do not have the required API score for consecutive years during the assessment period but have obtained required annual API score without continuation at a later date, the date of eligibility shall be the date on which the required API score for the assessment period is obtained [EC:32:5(4)].

**PERFORMANCE BASED APPRAISAL SYSTEM (PBAS) PROFORMA FOR PROMOTION
 UNDER CAS FOR TEACHING CADRE**

PART-A: GENERAL INFORMATION AND ACADEMIC BACKGROUND

1. Name (in Block Letters) :
2. Father's/Mother's/Husband's Name :
3. Date and Place of Birth :
4. Sex (Male/Female/Transgender) :
5. Marital Status :
6. Nationality :
7. Category (SC/ST/OBC/PWD/General) :
8. Name of Department/Centre :
9. Current Designation, Pay Band & AGP :
10. Designation and AGP for which application under CAS is submitted :
11. Date of eligibility for promotion :
12. Date of Last Appointment/Promotion :
13. Academic Qualifications :

Examination	Name of Board/ University	Year of Passing	% of Marks	Division/ Class/ Grade	Main Subjects
High School					
Higher Secondary					
Graduation					
Post-Graduation					
Other examinations, if any					

14. Research Degrees:

Degrees	Title of dissertation/thesis	Date of Award	Name of the University
M.Phil.			
Ph.D./D.Phil.			
D.Sc./D.Litt.			

15. Appointments held prior to joining this institution:

Designation	Employer	Date of		Pay Band with AGP	Reason for leaving
		Joining	Leaving		

16. Post held after appointment at this institution:

Designation	Department	Duration		Pay Band with AGP
		From	To	

17. Teaching Experience:

Name of the Institution	Position held & Pay Band with AGP	Adhoc/ Temporary/ Permanent	Duration		Total experience (as on)		
			From	To	Years	Months	Days

18. Teaching experience: P.G. Classes: Years; UG Classes: Years

19. Research experience excluding years spent in M.Phil./Ph.D.: Years

20. Field of Specialization under the Subject/Discipline:

(a)

(b)

21. HRDC (ASC) Orientation/Refresher Course/FDP attended:

Name of the Course	Place	Duration	Sponsoring agency

22. List of Enclosures (for PART-A):

(Please attach self-certified copies of certificates, papers etc. wherever necessary)

1.		2.	
3.		4.	
5.		6.	
7.		8.	
9.		...	

PART-B: ACADEMIC PERFORMANCE INDICATORS (API)

CATEGORY-I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

(Give separately for each year of the assessment period)

Assessment Year: _____

(a) Direct Teaching*

API Score = Actual hours spent per academic year ÷ 7.5 for Assistant Professor

= Actual hours spent per academic year ÷ 7.75 for Associate Professor & Professor

SN	Course/Paper	Level (PG/UG)	Teaching Mode	Actual hours spent per Academic year		Total hours spent per Academic Year	API Score
				Direct Teaching	Other duly recognized academic work		
Total (Maximum: 70 for Assistant Prof.; 60 for Associate Prof. & Professor)							

*Under the head Direct Teaching: In appropriate cases and subject to the applicable regulations, considerations may be given to other duly recognized academic work.

[Direct Teaching 16/14/14 hours per week include the Teaching Mode – Lecture(L)/Tutorial(T)/Practical(P)/Project and Research Supervision(S)/Field Work(F)]

(b) Examination duties (question paper setting, invigilation, evaluation of answer scripts, internal assessment evaluation) as per allotment

API Score = Actual hours spent per academic year ÷ 10

SN	Course/Paper	Level (PG/UG)	Actual hours spent on examination duties per Academic year				Total hours spent on examination duties per Academic Year	API Score
			Question Paper setting	Invigilation	Evaluation of answer scripts	Internal assessment evaluation		
Total (Maximum: 20 for Assistant Prof. & Associate Prof.; 10 for Professor)								

(c) Innovative Teaching – Learning Methodologies, Updating of Subject Contents/Courses, Mentoring etc.

API Score = Actual hours spent per academic year ÷ 10

SN	Brief description	Actual hours spent per Academic year	API Score
Total (Maximum: 10 for Assistant Prof.; 15 for Associate Prof.; 20 for Professor)			

TOTAL API SCORE UNDER CATEGORY I

API(a)	API(b)	API(c)	Total API Score

CATEGORY-II: PROFESSIONAL DEVELOPMENT, CO-CURRICULAR AND EXTENSION ACTIVITIES

(Give separately for each year of the assessment period. Cumulative of the Annual API score will be used for assessment)

Assessment Year: _____

(a) Student related co-curricular, extension and field based activities

API Score = Actual hours spent per academic year ÷ 10

SN	Nature of Activity	Actual hours spent per Academic year	API Score
(i)	Discipline related co-curricular activities (e.g. remedial classes, career counseling, study visit, student seminar and other events)		
(ii)	Other co-curricular activities (Cultural, Sports, NSS, NCC etc.)		
(iii)	Extension and dissemination activities (public/popular lectures/talks/seminars/workshops/conference/symposium etc.)		
Total (i+ii+iii) (Maximum: 15 points)			

(b) Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities:

API Score = Actual hours spent per academic year ÷ 10

SN	Nature of Activity	Actual hours spent per Academic year	API Score
(i)	Administrative responsibility (including as Dean/Principal/Vice Principal/Bursar/Chairperson/Convener/Teacher-in-charge/similar other duties that require regular office hours for its discharge)		
(ii)	Participation in Board of Studies, Academic and Administrative Committees		
Total (i+ii) (Maximum: 15 points)			

(c) Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in Orientation/Refresher/Faculty development courses, dissemination and general articles and any other contribution)

API Score = Actual hours spent per academic year ÷ 10

SN	Nature of Activity	Actual hours spent per Academic year	API Score
Total (Maximum: 15 points)			

TOTAL API SCORE UNDER CATEGORY II

API(a)	API(b)	API(c)	Total API Score

CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

(During the entire period of assessment)

For promotion from the post of Associate Professor to the post of Professor, the applicant should attach 5 copies of 5 major publications published subsequent to the period from which he was placed in the Assistant Professor Stage 3 (i.e. AGP Rs 8000 as per 6th Pay Commission).

For promotion to the post of Associate Professor, the applicant should attach 5 copies of 3 major publications made during the period of service as Assistant Professor (twelve years).

III.A. Published Research Papers in Journals (as notified by UGC):

SN	Journal No.*	Title of the paper, with Journal's name, Year of publication, Vol. No., Page Nos., etc.	ISBN/ISSN No.	Impact Factor, if any**	Author-ship***	API Score
Total API Score						

* Journal no. as notified by UGC.

** Latest Impact Factor as per JCR-Thomson Reuter.

*** For Authorship: Kindly indicate whether you are (i) Single Author (ii) First and Principal/Corresponding author/Supervisor/Mentor or (iii) Other Author/Co-author. This should be as per standard definition of the respective terms as applicable to the concerned discipline.

III.B. Publications other than journal articles (books, chapters in books):

SN	Publication Type*	Title of the Book Chapter/Book/Conference Proceeding, with year of publication and other publication details.	ISBN/ISSN No.	Level International/National/Local	Author-ship**	API Score
Total API Score						

* For Publication Type: Kindly indicate whether the publication is (i) Text/Reference/Subject Books, (ii) Edited/Translated Books, (iii) Chapters in Books/Full Paper in Conference Proceedings. For Conference Proceedings only full Papers will be considered, abstracts will not be considered.

** For Authorship: Kindly indicate whether you are (i) Single Author/Editor/Translator, (ii) First and Principal/Corresponding author/supervisor/mentor, (iii) Other Author/Co-author, (iv) First Editor/translator or (v) Co-editor/Co-translator. This should be as per standard definition of the respective terms as applicable to the concerned discipline.

III.C. Research Projects

III.C.(i) Sponsored Projects

SN	Title of Research Project	Funding Agency	Period	PI/Co-PI/ Joint PI	Amount	API Score
Total API Score						

III.C(ii) Consultancy Projects

SN	Title of Consultancy Project	Funding Agency	Period	Amount	API Score
Total API Score					

III.C (iii) Projects Output/Outcome

SN	Title of Research Project	Project Output/Outcome/ Patent/Technology/ Transfer/Product/Process/ Major Policy document prepared	Period	Level: International/ National Body: International Body/Central Govt./ State Govt./Local Body	API Score
Total API Score					

III.D Research Guidance: M.Phil./Ph.D.

Level of Guidance	Number Enrolled	Thesis/Dissertation submitted		Degree Awarded		API Score
		Number	Period	Number	Period	
M.Phil. or equivalent						
Ph.D. or equivalent						
Total API Score						

Note: There is no API Score for Dissertation submission in case of M.Phil./LL.M.

III.E Fellowships, Awards and Invited Lectures delivered in Conferences/Seminars/Refresher/Orientation/FDP

III.E (i) (a) Fellowships

SN	Name of Fellowship	Awarding Agency	From ____ To ____	Level: International/ National	API Score
Total API Score					

III.E (i) (b) Awards

SN	Name of Award	Awarding Agency	Date	Level: International/ National/State /University	API Score
Total API Score					

III.E(ii) Invited Lecture/Papers presented in Conferences/Seminars/Refresher/Orientation/FDP
(Merely attending the Conference, Seminar, FDP does not qualify for API score. Accepted full paper must be presented during the Conferences/ Seminars/FDP to qualify for API score).

SN	Title of the Invited Lecture/Paper presented	Title of Conference/ Seminar etc.	Organised by	Date of Presenta- tion	Level: International/ National/State /University	API Score
Total API Score						

Note: The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period i.e. maximum score of **4** for Stage 1 to 2; **10** for Stage 2 to 3; **15** for Stage 3 to 4; **20** for Stage 4 to 5; **80** for Stage 5 to 6.

III.F Development of e-learning delivery process/material

SN	Name of Module and subject	Organisation for which it was developed	From____ To_____	Duration of module (in minutes)	Level: (PG/UG)	API Score
Total API Score						

TOTAL API SCORE UNDER CATEGORY III

API (A)	API (B)	API (C)	API (D)	API (E)	API (F)	Total API Score

SUMMARY OF API SCORES

Category	Criteria	API Score per year for the assessment period						API Score for Assessment period
		I	II	III	IV	V	VI	
I	Teaching, Learning and Evaluation related activities							
II	Professional Development, Co-Curricular and Extension Activities							
III	Research and Academic contribution							
	Consolidated API Score (Category II+III)							

Calculation of API Score for Assessment

Category II : Cumulative of annual API Score

Category III : Cumulative of the entire assessment period

Note: Teachers may score the balance of points from either Category II or Category III to achieve the minimum score required under Category II+III. Provided also that the API score claim of each of the sub-categories in the Category III (Research and Academic Contributions) shall not have a cap except for the sub-category of invited lectures/papers

PART-C: OTHER RELEVANT INFORMATION

- (a) Academic awards and distinctions:
- (b) Membership/Fellowship of Learned bodies/Societies:
- (c) Literary, cultural or other activities(e.g. attainment in sports etc.) in which the applicant is interested and distinctions obtained:
- (d) Please give details of any other credential, significant contributions, awards received, responsibilities, etc. not mentioned earlier:
- (e) Future Plans (In approximately 150 words):

List of Enclosures (for PART-C):

(Please attach self-certified copies of certificates, papers etc. wherever necessary)

1.		2.	
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11.		12.	
13.		...	

I certify that the information provided is correct as per records enclosed alongwith the duly filled PBAS proforma

Date:

Place:

Signature & Designation of the applicant

The particulars given in this application have been checked and verified from office records and documents enclosed are found to be correct.

Date:

Place:

Head of the Department/Centre